



STATEMENT FOR SELF CERTIFIED HIGH NET WORTH INDIVIDUALS

Personal Details	
Title	First Name
Surname	
Address	
.....	
.....	
Email	Tel No

1. I declare that I am a certified high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001.
2. I understand that this means:
 - 2.1 I can receive financial promotions, made by a person who is authorised by the Financial Services Authority, which relate to units in unregulated collective investment schemes that invest wholly or predominantly in unlisted companies;
 - 2.2 the schemes to which the promotions will relate are not authorised or recognised for the purposes of the Financial Services and Market 2000.
3. I am a certified high net worth individual because **at least one of the following applies** -
 - 3.1 I had, during the financial year immediately preceding the date below, an annual income to the value of £100,000 or more;
 - 3.2 I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include -
 - 3.2.1 the property which is my primary residence or any loan secured on that residence;
 - 3.2.2 any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
 - 3.2.3 any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.
4. **I accept that I can lose my property and other assets from making investment decisions based on financial promotions.**
5. I am aware that it is open to me to seek advice from someone who specialises in advising on unregulated collective investment schemes.

Signature.....

Date.....